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Telephone: (415) 348-1444 Facsimile: (415) 348-8655 e-mail: rks@attycubed.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Hennings et al.) TRANSMITTAL)
Serial No.: 10/699,212)) :
Filing Date: October 30, 2003	Date Mailed: <u>June 18, 2004</u>
Attorney Docket No.: NSL-501) Examiner:
Title: ENDOVENOUS CLOSURE OF VARICOSE VEINS WITH MID INFRARED LASER	Group Art Unit: 3739))))
Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450	,

TRANSMITTAL

Dear Sir:

Enclosed please find the following documents related to the above-entitled patent application:

- 1. SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (R.56): 3 page(s);
- 2. IDS Form PTO/SB/08A: 2 page(s);
- 3. Prior Art Document(s): 21; and
- 4. Return Postcard.

TRANSMITTAL
Filing Date: October 30, 2003
Mailing Date: June 18, 2004

Title: Endovenous Closure of Varicose Veins with Mid Infrared Laser

Respectfully submitted,

Dated: June 18, 2004

RAYK. SHAHANI ATTORNEY AT LAW

By: Ray K. Shahani, Esq

Attorney for Applicant(s)

Ray K. Shahani, Esq.

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CERTIFICATE OF MAILING

I hereby certify that this paper and the documents attached hereto are being deposited in a postage prepaid, sealed envelope with the United States Postal Service using First Class Mail service under 37 CFR 1.08 on the date indicated and is addressed to "Commissioner of Patents and Trademarks, Alexandria VA 22313". Signed:

Date Mailed: June 18, 2004

TRANSMITTAL
Filing Date: October 30, 2003
Mailing Date: June 18, 2004

Title: ENDOVENOUS CLOSURE OF VARICOSE VEINS WITH MID INFRARED LASER Serial No.: 10/699,212

Attorney Docket No.: NSL-501

RAY K. SHAHANI. ATTORNEY AT LAW



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In re Application of:).	SUPPLEMENTAL INFORMATION
Hennings et al.)	DISCLOSURE STATEMENT (R.56)
Serial No.: 10/699,212)	
Filing Date: October 30, 2003)	Date Mailed: June 18, 2004
Attorney Docket No.: NSL-501	.)	Examiner:
)	Group Art Unit: 3739
Title: ENDOVENOUS CLOSUF	RE)	
OF VARICOSE VEINS)	
WITH MID INFRARED)	
LASER) .	
)	•
Commissioner of Patents and Trade	emarks	
P.O. Box 1450		
Alexandria, VA 22313		•

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT(R. 56)

Dear Sir:

Pursuant to 37 C.F.R. 1.97 the Applicant submits the following information disclosure statement and the accompanying documents.

The Applicant has not caused the comprehensive search to have been made concerning the invention disclosed and claimed in the application; this information simply presents those items known to the Applicant which the Applicant considers material to an examination of this application.

CERTIFICATION RE FOREIGN APPLICATION CITATION

Applicants hereby certify that each item of information contained in this information disclosure

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (R-56)

Date Filed: October 30, 2003 Date Mailed: <u>June 18, 2004</u> Title: ENDOVENOUS CLOSURE OF VARICOSE VEINS
WITH MID INFRARED LASER

statement cited to Applicant(s) in a communication from a foreign search report in a counterpart foreign application was not cited to Applicant(s) more than three months prior to the filing of this statement.

The accompanying documents include:

- 1. U.S. Patent No. 6,638,273, U.S. Class No. 606/27, issued Oct. 28, 2003 to Farley et al.
- 2. U.S. Patent No. 6,263,248, U.S. Class No. 607/98, issued Jul. 17, 2001 to Farley et al.
- 3. U.S. Patent No. 6,139,527, U.S. Class No. 604/114, issued Oct. 31, 2000 to Laufer et al.
- 4. U.S. Patent No. 6,135,997, U.S. Class No. 606/27, issued Oct. 24, 2000 to Laufer et al.
- 5. U.S. Patent No. 6,033,398, U.S. Class No. 606/27, issued Mar. 7, 2000 to Farley et al.
- 6. U.S. Patent No. 6,014,589, U.S. Class No. 607/98, issued Jan. 11, 2000 to Farley et al.
- 7. U.S. Patent No. 6,520,975, U.S. Class No. 606/159, issued Feb. 18, 2003 to Branco
- 8. U.S. Patent No. 6,361,496, U.S. Class No. 600/437, issued Mar. 26, 2002 to Zikorus et al.
- 9. U.S. Patent No. 6,273,883, U.S. Class No. 606/9, issued Aug. 14, 2001 to Furumoto
- 10. U.S. Patent No. 6,270,476, U.S. Class No. 604/95.04, issued Aug. 7, 2001 to Santoianni et al.
- 11. U.S. Patent No. 6,228,078, U.S. Class No. 606/32, issued May 8, 2001 to Eggers et al.
- 12. U.S. Patent No. 6,200,332, U.S. Class No. 607/89, issued Mar. 13, 2001 to Del Giglio
- 13. U.S. Patent No. 6,176,854, U.S. Class No. 606/15, issued Jan. 23, 2001 to Cone
- 14. U.S. Patent No. 5,984,915, U.S. Class No. 606/9, issued Nov. 16, 1999 to Loeb et al.
- 15. U.S. Patent No. 4,899,741, U.S. Class No. 606/27, issued Feb. 13, 1990 to Bentley et al.
- 16. U.S. Patent No. 6,197,020, U.S. Class No. 606/9, issued Mar. 6, 2001 to O'Donnell, Jr.
- 17. U.S. Patent No. 6,626,899, U.S. Class No. 606/14, issued Sep.30, 2003 to Houser et al.
- 18. U.S. Patent No. 6,451,044, U.S. Class No. 607/96, issued Sep.17, 2002 to Naghavi et al.

- 19. U.S. Patent No. 6,224,593, U.S. Class No. 606/41, issued May 1, 2001 to Ryan et al.
- 20. U.S. Patent No. 6,346,105, U.S. Class No. 606/41, issued Feb. 12, 2002 to Tu et al.
- 21. U.S. Patent No. 6,083,223, U.S. Class No. 606/52, issued Jul. 4, 2000 to Baker.

Respectfully submitted,

Dated: June 18, 2004

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Attorney for Applicant(s)

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Date Filed: October 30, 2003 Date Mailed: June 18, 2004 Title: ENDOVENOUS CLOSURE OF VARICOSE VEINS
WITH MID INFRARED LASER

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Under the Paper of Reduction Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

 Complete if Known

 Application Number
 10/699,212

 Filing Date
 October 30, 2003

 First Named Inventor
 HENNINGS, David R.

 Art Unit
 3739

 Examiner Name
 NSL-501

		·		DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6638273	10-28-2003	Farley et al.	
		^{US-} 6263248	07-17-2001	Farley et al.	
		^{US-} 6139527	10-31-2000	Laufer et al.	
-		^{US-} 6135997	10-24-2000	Laufer et al.	
		^{US-} 6033398	03-07-2000	Farley et al.	
		^{US-} 6014589	01-11-2000	Farely et al.	
		^{US-} 6520975	02-18-2003	Branco	
		us- 6361496	03-26-2002	Zikorus et al.	
		^{US-} 6273883	08-14-2001	Furumoto	
		^{US-} 6270476	08-07-2001	Santoianni et al.	
		^{US-} 6228078	05-08-2001	Eggers et al.	
		^{US-} 6200332	03-13-2001	Del Giglio	
		^{US-} 6176854	01-23-2001	Cone	
		US- 5984915	11-16-1999	Loeb et al.	
		us- 4899741	02-13-1990	Bentley et al.	
		US- 6197020 .	03-06-2001	O'Donnell, Jr.	
	T	^{US-} 6626899	09-30-2003	Houser et al.	
		^{US-} 6451044	09-17-2002	Naghavi et al.	
	T .	US- 6224593	05-01-2001	Ryan et al.	

Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	1
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Examiner	Date	
Signature	Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\)Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \(^6\)Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO		Complete if Known		
		Application Number	10/699,212	
	INFORMATION DISCLOSURE	Filing Date	October 30, 2003	
	First Named Inventor	HENNINGS, David R.		
	STATEMENT BY APPLICANT	Art Unit	3739	
	(Use as many sheets as necessary)	Examiner Name		
	Sheet 2 of 2	Attorney Docket Number	NSL-501	

				TOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<u> </u>	Number-Kind Code			Figures Appear
		^{US-} 6346105	02-12-2002	Tu et al.	
		^{US-} 6083223	07-04-2000	Baker	
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		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Π
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Examiner		Date	
Signature		Considered	

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